

Assessment of Construction Joint Effect in Full-Scale Concrete Beams by Acoustic Emission Activity

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Abstract: In the present paper the mechanical and acoustic emission (AE) behaviors of full-scale reinforced concrete beams are evaluated. One of the beams was constructed in two parts, which were assembled later in order to evaluate the effect of the joints in the structural behavior. The load was applied by means of a four-point-bending configuration. It is revealed that at initial stages of loading, the conventional measurements of strain and deflection, as well as pulse velocity, do not show any discrepancy, although the structural performance of the two beams is eventually proven to be quite different. On the contrary, AE parameters, even from early load steps, indicate that the damage accumulation is much faster in the assembled beam. This is confirmed by the calculated sources of AE events which are close to the construction joints. The results show that the AE technique is suitable to monitor the deterioration process of full-scale structures and yields valuable information that cannot be obtained at the early stages of damage by any other way.

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Introduction

The increase of the number of aging concrete structures worldwide is a certain fact. Their malfunction leads to large financial cost and, in some cases, it is catastrophic to human casualties as well. Therefore, damage assessment and maintenance are essential in order to secure or even extend the safe service life of structures. One of the techniques used for characterization of the integrity of structures is acoustic emission (AE).

Stressing a material above its strength results in cracking, giving rise to elastic waves propagating to all directions. These transient waves (AE signals) can be detected by AE sensors attached to the surface of the material. Analysis of the wave characteristics and origins can provide valuable information about the internal condition of the structure. The advantage of AE is the recording of the damage process during the entire load history, which enables to determine the onset of fracture and follow all the subsequent stages. In laboratory studies, AE parameters have been correlated with the damage process and failure modes (Schechinger and Vogel 2007; Ohtsu et al. 2002; Shiotani et al. 1999, 2001, 2003; Mihashi et al. 1991; Grosse et al. 1997; Grosse and Finck 2006; Triantafillou and Papanikolaou 2006). There are also

applications of AE in actual structures with the aim of damage quantification or repair evaluation (Ohtsu et al. 2002; Shiotani et al. 2001, 2004a,b, 2005, 2006).

In the present paper, the mechanical and AE behavior of two full-scale 6.5 m reinforced concrete beams under bending is discussed. The aim was the comparison between two different construction methods: one beam was constructed as one piece, while the second had been two separate pieces were joined later. The beams were loaded in four-point bending and besides mechanical parameters, such as load, deflection and strain, AE was recorded as well. The obvious advantage of the "connected" beam is easier handling in situ. The actual application in mind was ground support for tunnel construction underneath railways. However, before this type of construction could be safely adopted in practice, its performance should be evaluated. The importance of this work is that the elements which are mechanically tested and monitored by AE have the same size as the ones used in situ and therefore, the actual behavior was examined without assumptions about the size effect. It is mentioned that laboratory tests of full-scale concrete elements of this size, accompanied by AE monitoring are rare in literature.

AE

In this section a brief description of AE parameters that will be studied throughout the paper will take place. After a specific crack propagation incident, all the waveforms recorded (*hits*) are parts of an *AE event*. The time delay of arrival to the different transducers is used to calculate the position of the event source, provided that the pulse velocity of the material is known. Practically, this means that after any AE event, the position of the source crack can be calculated.

Some very important parameters of AE are the number of AE hits or events and their intensity, measured by the peak amplitude of the waveforms. At the early stages of damage the number of emissions is limited and their intensity is low. As the stress in-

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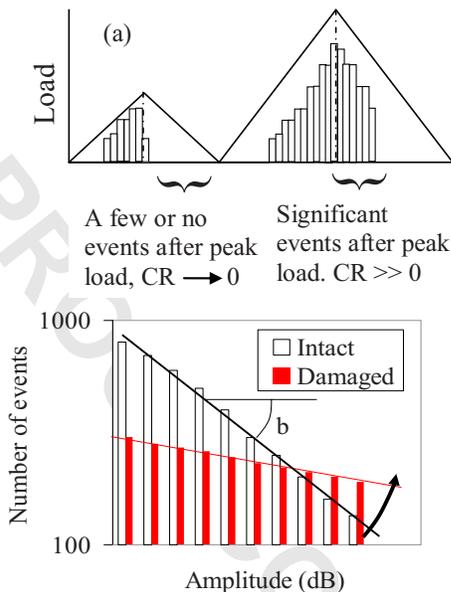


Fig. 1. (a) Representation of AE activity with damage process. The bars stand for AE event rate. (b) Peak amplitude distribution. The Ib value is the absolute slope.

72 creases and the damage propagates, the number of emissions gen-
 73 erally becomes larger, as well as their amplitude (Shiotani et al.
 74 1999, 2001, 2004a, 2005; Schechinger and Vogel 2007; Ohtsu et
 75 al. 2002).

76 For damage quantification purposes, certain indices have been
 77 proposed. As stated earlier, when a material or structure is
 78 stressed, AE is produced. Additionally, the behavior during un-
 79 loading is also crucial. In the case where the material is intact (or
 80 the applied load is low), the AE activity during unloading is of
 81 low intensity, as seen in Fig. 1(a). For damaged material though,
 82 the emissions are intense even during unloading, see again Fig.
 83 1(a). The number of AE events during unloading divided by the
 84 number of events during the whole cycle is defined as the *calm*
 85 *ratio* and values near 0 indicating intact material condition (Ohtsu
 86 et al. 2002; Shiotani et al. 2004a,b, 2006; Colombo et al. 2005).

87 Another index comes from the analysis of the amplitude dis-
 88 tribution of the events, or the so called improved *b* value (*Ib* value
 89 for short) (Shiotani et al. 1994). While in general, a large scale of
 90 the fracture corresponds to large AE peak amplitude, the use of
 91 the amplitude solely can be misleading. This is because the accu-
 92 mulated damage increases the material attenuation due to scatter-
 93 ing on the cracks. Therefore, even strong signals will be severely
 94 attenuated before being recorded by the sensors. To avoid confu-
 95 sion, the amplitudes are studied through their cumulative distri-
 96 bution that changes as the damage is accumulated [see Fig. 1(b)].
 97 Specifically, the gradient of the distribution is calculated. With the
 98 evolution of damage this slope decreases, meaning simply that
 99 from the total population of events, the percentage of the strong
 100 ones increases relatively to the weak. It has been confirmed that at
 101 the moments of extensive cracking, the *Ib*-value exhibits severe
 102 drops (Shiotani et al. 1994, 2001, 2004a,b; Kurz et al. 2006; Co-
 103 lombo et al. 2003).

104 The location of the AE events revealed the sensitive areas of
 105 each design that acted as crack initiators. Also, the aforemen-
 106 tioned AE indices indicated which beam was more critically dam-
 107 aged even from the first cycle of the loading process. Strain and

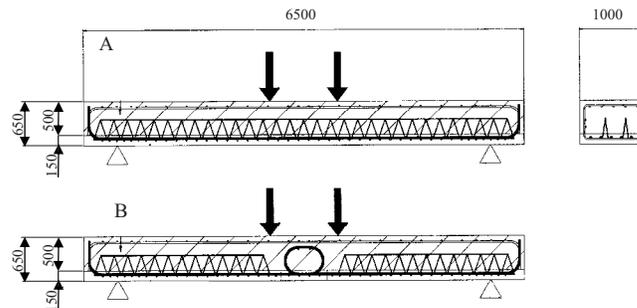


Fig. 2. Geometry and reinforcement arrangement of the beams

deflection are also briefly discussed exhibiting discrepancies be-
 tween the two beams only at the final stage of failure.

Concrete Beams

The geometry of the beams with a rough sketch of the reinforce-
 ment can be seen in Fig. 2. The length was 6.5 m while the cross
 section was 0.65 m (height) by 1 m. They consist of two layers of
 concrete. The lower had a thickness of 150 mm, containing ag-
 gregates of maximum size of 20 mm. The water to cement ratio
 by mass was 0.53 and the amounts of cement, water, sand, and
 aggregates in a cubic meter were 299, 159, 800 and 1,080 kg,
 respectively. After the complete hydration of this layer (at 28
 days) the second layer was cast on top. This layer had larger
 aggregates of 100 mm and quick setting, and hardening grout was
 used with water to cement ratio by mass $W/C=0.22$.

The basic difference of the two beams was the construction
 process. The first (Beam A) was constructed in a unified way, i.e.,
 each layer was cast as one piece. On the other hand, the bottom
 layer of Beam B was constructed in two separate parts that were
 joined together during the casting of the upper layer, see repre-
 sentation of Fig. 3.

Mechanical Testing

The beams were loaded in a four-point-bending test. The overall
 span between the supports was 6 m, and the load was applied
 from the top surface as seen in Fig. 4. Several strain gauges and
 deflection meters were attached to the surface of concrete, as well
 as on the reinforcement bars before casting. The loading consisted
 of five cycles: the first two were up to 500 kN, the third and
 fourth were up to 750 kN, and the last was up to failure.

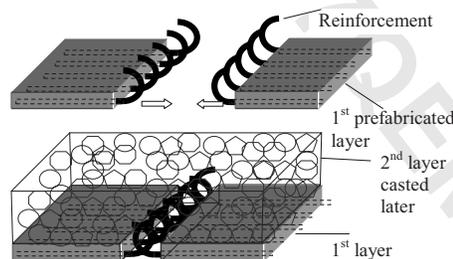


Fig. 3. Detail of the assembly of Beam B

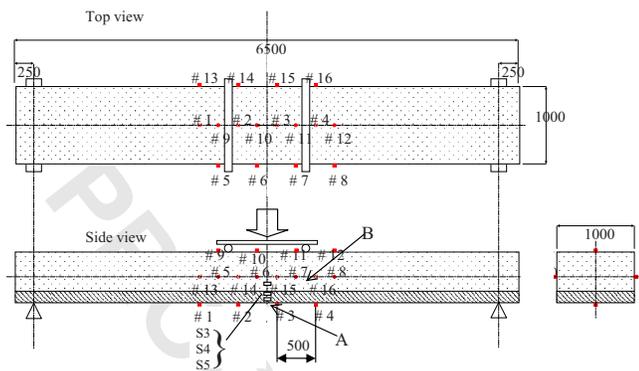


Fig. 4. Geometry of the experiment and sensor location

136 **AE Monitoring**

137 Sixteen piezoelectric sensors R6 of physical acoustics (PAC) were
 138 employed for the AE monitoring. The specific sensors exhibit
 139 high sensitivity at the band below 100 kHz and are widely used in
 140 AE monitoring projects. They were attached using electron wax
 141 on Positions 1–16, as shown in Fig. 4. The signal was preampli-
 142 fied by 40 dB, digitized with a sampling rate of 1 MHz and stored
 143 in a PAC, DiSP 16 channel system. Apart from the analysis of
 144 parameters and waveforms, the software AEWIn of PAC provided
 145 automatic, real-time event source location during the experiment.

146 **Mechanical Behavior**

147 The purpose of the present paper is to focus more on the AE
 148 parameters and therefore, from the total number of 55 strain
 149 gauges and five deflection meters only some indicative results
 150 will be presented. In Fig. 5, the load versus deflection curves can
 151 be observed for both beams. This deflection was measured at the
 152 lower center point of each beam, see Point A in Fig. 4. The be-
 153 haviors of both beams are similar in general. The slopes of the
 154 curves do not show significant discrepancies. The most important
 155 observation concerns the maximum load. It is clear that Beam A
 156 withstood higher load, specifically 1,014.5 kN, while Beam B
 157 reached to a maximum of 917.5 kN. The maximum deflection of
 158 Beam A was also higher (72 mm compared to 65.6 mm of Beam
 159 B), implying that the structure of Beam A absorbed higher energy
 160 before failure.

161 In Fig. 6 one can observe the load versus strain behavior, as
 162 measured by strain gauges placed on the top side of concrete, see
 163 Point B in Fig. 4. Since the top surface undergoes compression,
 164 the strain values are negative. The maximum strain is again

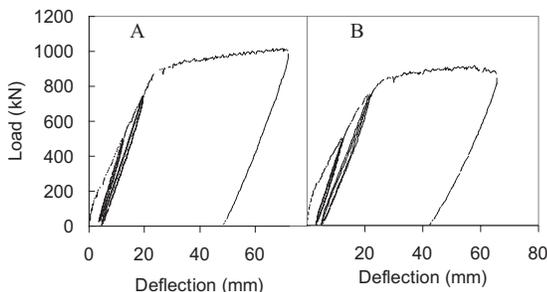


Fig. 5. Load versus center deflection curves for both beams

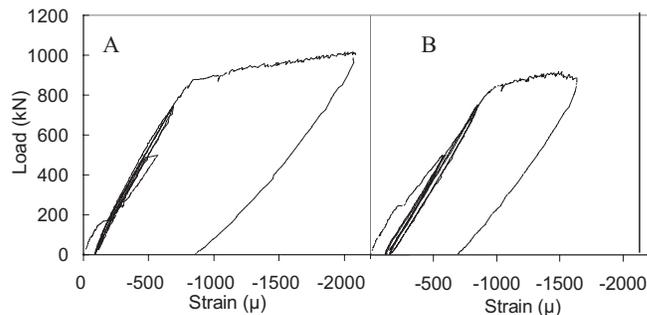


Fig. 6. Load versus top center strain curves for both beams

higher for Beam A (2,081 μ compared to 1,630 μ of Beam B). In
 any case, from Figs. 5 and 6, a large permanent plastic deforma-
 tion is obvious, resulting in a deflection of the midspan of 40 to
 50 mm even after the final unloading.

The evaluation of the behavior comes after comparison of the
 final values for the two beams and could not be used as an abso-
 lute measure of deterioration at early ages. To this end, AE activ-
 ity helps in the quantification and localization of damage even at
 low stress levels.

174 **AE Results**

In Fig. 7 one can see the time history of the cumulative number of
 AE events along with the applied load for Beams A and B, re-
 spectively. As seen, the AE events are recorded shortly after appli-
 cation of the load. During any cycle of loading and unloading
 the events increase, finally reaching for Beam B a number more
 than double to that compared with Beam A. This is by itself an
 indication of more intense cracking that happened in the joint
 Beam B. What is more important though, is the value of AE
 indices, like the calm ratio that was mentioned earlier. In Table 1,
 the numbers of events during the loading and unloading process
 of Steps 1 and 3 are presented. The activity of B was intense even

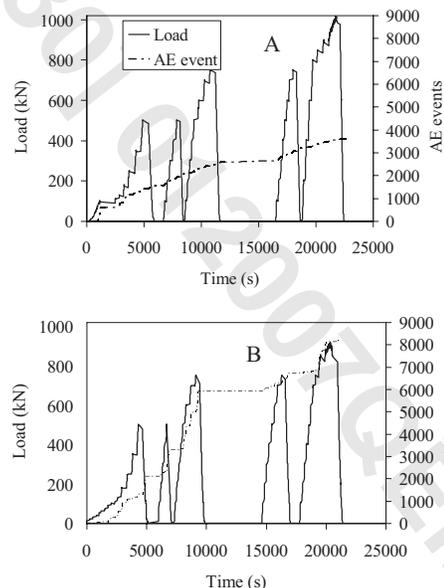


Fig. 7. Time history of load and cumulative AE events for Beams A and B

Table 1. Number of Events during Loading-Unloading Steps and Calm Ratio

| | Beam A | | | Beam B | | |
|---------------------|---------|-----------|--------------|---------|-----------|--------------|
| | Loading | Unloading | Calm ratio | Loading | Unloading | Calm ratio |
| First step (500 kN) | 1412 | 121 | 0.079 | 1286 | 807 | 0.386 |
| Third step (750 kN) | 390 | 117 | 0.230 | 1718 | 934 | 0.352 |

Note: Boldface font=

186 from the first unloading (maximum load of 500 kN). The number
 187 of the events during unloading was almost of the same order with
 188 loading, leading to a calm ratio of 0.39. This value is related with
 189 high degree of damage in relevant works (Ohtsu et al. 2002; Shio-
 190 tani et al. 2004a,b, 2005, 2006; Colombo et al. 2005) and shows
 191 that the damage of Beam B was extensive even from the first
 192 loading cycle. In the aforementioned literature an empirical
 193 threshold value of 0.05 is defined, above which severe deteriora-
 194 tion is implied. Under these circumstances, small fluctuations of
 195 calm ratio above 0.3 are considered insignificant. On the contrary,
 196 Beam A exhibited much less activity during unloading and there-
 197 fore lower calm ratio. At the third step however, Beam A also
 198 exhibited high calm ratio (0.23), implying that at this point it was
 199 seriously damaged as well.

200 Event Location

201 It is interesting to focus on the location of the events. In Fig. 8
 202 one can observe the location of the events for the first loading and
 203 unloading step for beam A along with the pattern of surface
 204 cracks developed. The events are indicated by circles, the center
 205 of which is the location of the source, and the diameter stands for
 206 the amplitude of the first detected signal of the event. A pattern
 207 can be distinguished, implying a zone from approximately 0.15 m
 208 on the left extending diagonally to the top. However, in general
 209 the events seem well distributed to the whole volume, not show-
 210 ing a particularly strong preference. During unloading, the num-
 211 ber of events is certainly lower indicating small damage.

212 Concerning Beam B, see Fig. 9, most of the events are located
 213 above the position of the left joint. This means that the joint
 214 contributed to local stress concentration leading to accumulation

of cracks. Near the left joint, at both sides, visible surface-
 breaking cracks were developed, one of which propagated more
 than 400 mm to the top, being very close to the calculated event
 sources, as can be seen in Fig. 9. Even more indicative is the
 behavior during unloading, as seen in the lower part of Fig. 9. It
 is clear that a large number of events were nucleated again from
 the area above the construction joint, most of them having high
 intensity. The above shows that the construction joint contributed
 to local stress concentration, leading to extensive cracking at the
 area close and above the construction joint. This led to the much
 lower strength exhibited eventually. It is interesting to observe
 that the area away from the left joint exhibited smaller activity.
 Even if the structure and the load is symmetric, after the first
 crack is developed at a strong candidate point (i.e., the left joint in
 this case), the stress is released and therefore, it is reasonable that
 the rest of the area, including the right joint, would not exhibit
 similar activity, as also seen in Fig. 9. In any case, although the
 number of events at the right joint is less, their intensity is high,
 as will be seen in the next paragraph.

In Fig. 10 the total energy of the AE events for different load
 stages is presented according to the horizontal position of the
 event epicenter. For this figure, the monitored area was divided to
 vertical zones of 100 mm each, and the energy of all individual
 events that occurred within each zone was summed. The energy
 of the individual signals was measured by the area under the
 rectified signal envelope that is closely related to the energy of the
 source (Shiotani et al. 2001).

AE energy is widely distributed for Beam A. Gradually, with
 each cycle, accumulation of energy is observed at the zone around
 0.15 m from the left. At that point, visible cracks developed even
 from the first cycle, as seen Fig. 8.

For Beam B, the energy was located at the zones of 0.55 m, as

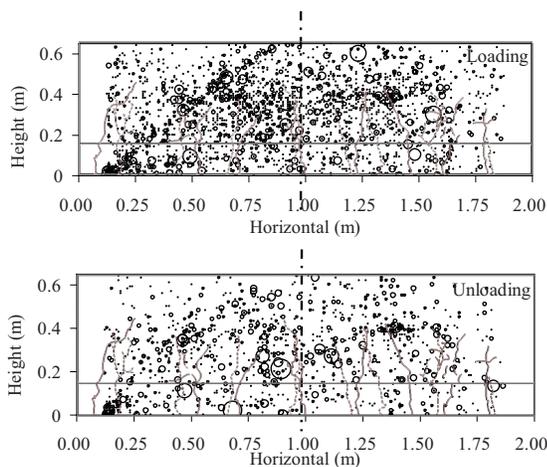


Fig. 8. Location of AE events and surface crack pattern for the first loading cycle for Beam A. The solid lines denote cracks observed on the front side and dashed lines on the rear side and the dashed-dotted centerline of the specimen.

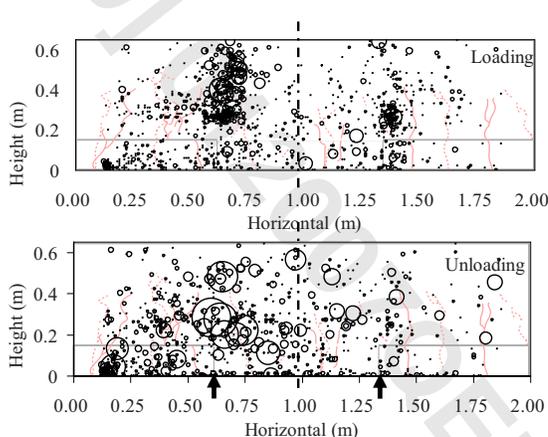


Fig. 9. Location of AE events and surface crack pattern for the first loading cycle for Beam B. The arrows indicate the connection between the two layers. The solid lines denote cracks observed on the front side and dashed lines on the rear side and the dashed-dotted centerline of the specimen.

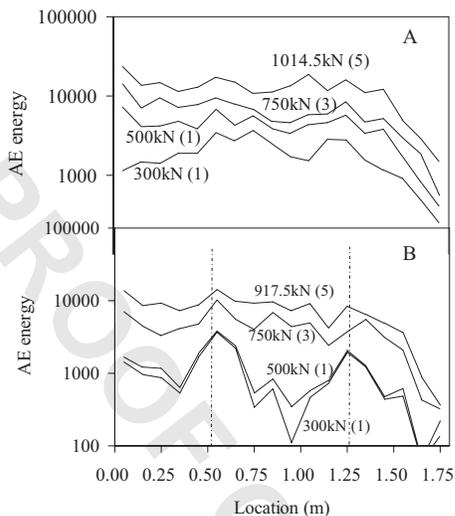


Fig. 10. AE energy versus horizontal location for Beams A and B. The number in parentheses indicates the loading cycle. The vertical dashed-dotted lines correspond to the position of the joints of Beam B.

247 well as 1.35 m from the start. These zones correspond to the
248 positions of the joints between the two different materials, show-
249 ing again that the joints acted as crack initiators. As seen earlier in
250 Fig. 9, the left joint had more intense activity; however there is
251 also a local maximum of AE energy at the vicinity of the right
252 joint, revealing that it also contributed to fracture from the first
253 cycle. After this initial cycle that led to extensive cracking at
254 these locations, AE energy started to emerge from the zone of
255 0.15 m, near which a surface-breaking crack was observed, simi-
256 lar to Beam A (see Figs. 8 and 9).

257 In inhomogeneous structures like the ones described herein,
258 the pulse velocity is not constant for any propagation direction.
259 This reduces the accuracy of event location. Therefore, it is rea-
260 sonable that the center of the events is not located exactly on the
261 visible pattern of the cracks. Additionally, if some major cracks
262 develop, this could hinder the recording of signals from other
263 cracks since the straight propagation path to some sensors is dis-
264 rupted. This is a reason why many visible surface-breaking cracks
265 are not accompanied by AE events in the near vicinity. It is not
266 within the scope of the manuscript to discuss the algorithms used
267 for localization. In any case however, the accuracy of localization
268 cannot be constant and depends on a number of parameters: the
269 onset picking algorithm, the AE source localization algorithm
270 (Grosse et al. 1997), the propagation velocity, and the location of
271 the sensors (Schechinger and Vogel 2007). The effect of velocity
272 will be briefly discussed later.

273 **Ib-Value and Strain**

274 As mentioned earlier, extensive cracking influences temporarily
275 the AE event amplitude distribution. In case strain gauges are in
276 the near vicinity of the crack, a sudden change can be seen in the
277 strain behavior as well. Such a case is presented in Fig. 11(a) for
278 Beam B. There, the time histories of three individual strain
279 gauges (S3, S4, and S5 of Fig. 4) are depicted for the first three
280 hours of the experiment. All of them are positioned clearly below
281 the neutral axis, so normally they should exhibit positive (tensile)
282 strain. After about 2,500 s the strain readings start to change

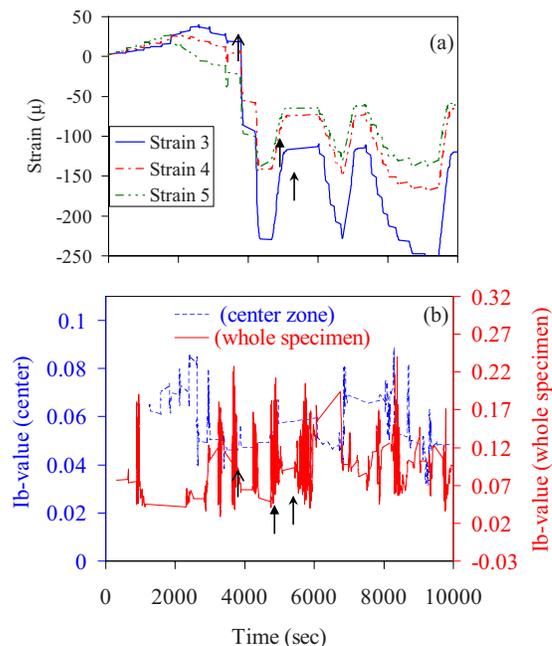


Fig. 11. Time history of (a) strain; (b) *Ib*-values for beam B

direction and in the time span of 3,800 to 4,250 s they exhibit a
283 severe decrease, becoming negative while the load was still
284 monotonically increasing. These moments are pointed by the ar-
285 rows. These changes of the strain are attributed to extensive
286 cracking that occurred near the strain gauges and were recorded
287 by all of the three strain gauges in the vicinity.
288

289 Focusing on the time history of the *Ib*-value from events lo-
290 cated in the whole volume, it is seen that it exhibits many points
291 of fluctuation throughout the experiment, indicating different
292 cracking events, see solid line of Fig. 11(b). Any sudden change is
293 the result of crack propagation events, as explained earlier. At the
294 time of 3,800 and 4250 s these fluctuations (marked again by
295 similar arrows) accompany the severe changes of measured strain,
296 as presented in Fig. 11(a). Additionally, concentrating only on the
297 events located within a center zone of 300 mm (dashed line),
298 where also the strain gauges were attached, a sudden change of *Ib*
299 value is exhibited at the time of 2,500 s where the readings of the
300 strain gauges start to change direction. The *Ib* value at that point
301 exhibited a significant drop from 0.085 to 0.04. Therefore, the
302 reason which led to rapid changes in strain readings (cracking
303 incidents), also resulted in fluctuations of the *Ib* value. Further-
304 more, the *Ib* value of the center zone dropped below 0.05 in many
305 cases in Fig. 11(b). This value has been related to extensive dam-
306 age by previous studies (Shiotani et al. 1994, 2001, 2004) and is
307 even from the first loading cycle. It is mentioned that as the load
308 increases, the whole beam is continuously deteriorating. How-
309 ever, the *Ib* value cannot monotonically decrease; after any crack
310 propagation incidence, the crack tip reaches material volumes
311 which were healthy some milliseconds before. Therefore, until the
312 crack propagates again, the *Ib* value may well increase and defi-
313 nitely exhibit some fluctuations, as seen in Fig. 11(b).
314

315 The above demonstrates the capability of AE to monitor the
316 fracture process in a large area using a number of sensors at
317 positions even away from the location of damage. On the con-
318 trary, the conventional gauges can indicate the cracking process
319 only if they are located close to the crack (such as S3, S4, and S5

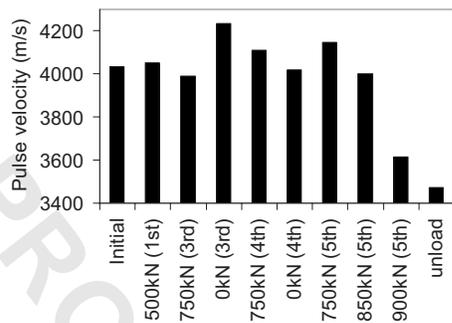


Fig. 12. Average pulse velocity for different load stages

in this case). However, in an actual structure this cannot always happen since the accurate position of the cracks cannot be known a priori.

Pulse Velocity Measurements

As mentioned in the introduction, in order to accurately calculate the location of the events, the pulse velocity must be known. The structures of this study are highly inhomogeneous, with two layers of concrete, different aggregates for each layer and densely reinforced by steel bars. When an AE event takes place, the energy propagates possibly through different concrete layers and a number of reinforcing bars before reaching the sensors' position. Therefore, strictly speaking, there is not a single pulse velocity characteristic of the beams. Depending on the direction, each hit may propagate with different velocity. This certainly induces an error in the location of AE sources. In the present case, in order to minimize this error, many wave paths were measured and the values were averaged before the start of the experiment. An additional error is induced during the different loading stages, as the velocity changes due to cracking of the microstructure. In order to examine if the changes of velocity influence the location of AE events significantly, velocity measurements were conducted during the different loading stages for the Beam B. This was done using a ball impact from a constant height near the position of Sensor 5, see Fig. 4, that acted as trigger. The transit time to all other sensors was measured and the velocity was calculated as the average of the individual velocities. In Fig. 12 one can see the average velocity at some major steps of the experiment. The velocity had fluctuations up to 5% of the initial value, even positive, something that has been mentioned in other relevant cases (Suaris and Fernando 1987; Popovics and Popovics 1991). This has been attributed to the pressure that consolidates the medium and facilitates the wave propagation. Only at the final stage, the velocity exhibited a constantly decreasing trend. Specifically, at 900 kN the last loading, the velocity reduced by 10% and after the eventual failure at 917.5 kN, it was further reduced by 14%.

After an AE event occurs in the structure, considering the cross section of the beams and the position of the sensors, the wave will propagate less than 0.4 m before being recorded by a sensor. Therefore, the velocity fluctuation of 5% (throughout most of the experiment) may alter the transit time to the sensor and therefore, the location calculation by the same percentage (or approximately 20 mm). However, taking into account that the same event will be recorded by a number of other sensors, it is not easy to calculate exactly how much this error will be reduced. The problem becomes more complicated, considering other sources of

error, as, for example, that of the onset picking algorithm (Schechinger and Vogel 2007; Kurz et al. 2005).

Although the velocity itself is not assumed to impose large localization error, it was confirmed that it is not sensitive to the damage, since even at the last cycle and at the load of 850 kN, the velocity was of the same level with that of in the initial (above 4,000 m/s).

Conclusions

In the present paper the mechanical performance and AE activity of two full-scale concrete beams is studied. One of them had been constructed as two parts which were joined later. The purpose was to evaluate the load bearing capacity relatively to the construction joint. AE results showed that the joints acted as crack initiators, and significant damage was accumulated in their vicinity from the early stages of loading. This was indicated by the location of AE events, while the activity during unloading, quantified by the calm ratio, confirmed the extend of damage. As a result, the assembled beam withstood 10% lower load compared to the monolithic one in the bending test. This lower load-bearing capacity, as well as concerns about the long-term behavior (given the early cracking during the experiment) halted the production of this type of structure. The AE amplitude distribution quantified by the I_b value indicated the crack propagation events, something that strain and deflection gauges cannot monitor unless the fracture occurs in their vicinity. Moreover, the mechanical measurements did not exhibit noticeable discrepancies between the two beams during the early loading stages, while the only difference was obtained close to failure. AE analysis shows the ability to monitor large volume, with real-time crack localization, as well as correlation of cracking process with the applied load even from the early stages of damage.

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